

United States Patent and Trademark Office

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MARCH 14, 2006

MARTIN D. MOYNIHAN P.O. BOX 16446 PRTSI, INC. ARLINGTON, VA 22215 **PTAS**

.. .

500084409A

500084409A



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 03/12/2006

REEL/FRAME: 017298/0174 NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: 31316

·. . .

ASSIGNOR:

AGRANAT, AHARON J.

DOC DATE: 02/05/2006

ASSIGNOR:

DE OLIVEIRA, CLOVIS, EDUARDO, ...

ing specification of the second secon

DOC DATE: 02/15/2006

ASSIGNEE:

YISSUM RESEARCH DEVELOPMENT COMPANY OF THE HEBREW UNIVERSITY OF JERUSALEM

HI TECH PARK, THE EDMOND J. SAFRA CAMPUS, THE HEBREW UNIVERSITY OF JERUSALEM, GIVAT RAM JERUSALEM, ISRAEL 91390

P.O. Box 1450, Alexandria, Virginia 22313-1460 - www.uspro.gov

017298/0174 PAGE 2

SERIAL NUMBER: 10566264

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: STABLE DIELECTRIC GRATINGS IMPLEMENTED BY COMPOSITION STRIATIONS IN

PARAELECTRIC CRYSTALS

ASSIGNMENT SERVICES BRANCH PUBLIC RECORDS DIVISION

IAPO3Rec'd PCT 0 1 DE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

99999999999

re Application of.:

Aharon J. AGRANAT et al

Serial No.:

10/566,264

Filed:

April 17, 2007

For:

STABLE DIELECTRIC

GRATINGS IMPLEMENTED

BY COMPOSITION STRIATIONS IN

PARAELECTRIC CRYSTALS

Attorney Docket:

Confirmation No.

Group Art Unit:

31316

3491

2872

Examiner:

CHANG Audrey Y.

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Attached hereto are copies of the Filing Receipt dated April 8, 2009 and the papers upon which the same was issued.

Please note that the correct Assignee that should appear in the section "Assignment For Published Patent Application" is "Yissum Research Development Company of the Hebrew University of Jerusalem, Jerusalem, Israel", and not "Koninklijke Phillips Electronics, N.V., Eindhiven, NETHERLANDS". Applicant never submitted any papers indicating this latter entity as the Assignee. Please find attached an executed Assignment form and the Notice of Recordation of Assignment indicating Yissum Research Development Company of the Hebrew University of Jerusalem as the Assignee.

Issuance of a Corrected Filing Receipt to reflect the correct Assignee as mentioned above is respectfully requested.

Respectfully submitted,

Martin O. Mogruba

Martin D. Moynihan

Registration No. 40,338

Date: November 27, 2009





UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Tradomark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Advandria, Virginia 22313-1450
www.uspto.gov

APPLICATION	FILING or	GRP ART				
NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY, DOCKET.NO	TOT CLAIMS	IND CLAIMS
10/566 264	04/17/2007	2872	1090	31316	41	- 5

CONFIRMATION NO. 3491

67801 MARTIN D. MOYNIHAN d/b/a PRTSI, INC. P.O. BOX 16446 ARLINGTON, VA 22215

FILING RECEIPT

Date Mailed: 04/08/2009

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Aharon J. Agranat, Mevasseret Zion, ISRAEL; Clovis Eduardo Mazzotti De Oliveira, Beer Sheva, ISRAEL;

Assignment For Published Patent Application

Koninklijke Phillips Electronics, N.V., Eindhoven, NETHERLANDS

Power of Attorney: Martin Moynihan--40338 Yissum Research Development Company of the Hebrew University of

Jerusalem, Jerusalem, Israel

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/IL04/00726 08/04/2004 which claims benefit of 60/491,996 08/04/2003

Foreign Applications

If Required, Foreign Filing License Granted: 04/06/2009

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 10/566,264**

Projected Publication Date: 07/16/2009

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

page 1 of 3

Title

Stable dielectric gratings implemented by composition striations in paraelectric crystals

Preliminary Class

359

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filling of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filling of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. Box 1450
Alternation Virgin 22113-1440

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY, DOCKET NO.

10/566,264 Aharon J. Agranat 31316

67801 MARTIN D. MOYNIHAN d/b/a PRTSI, INC. P.O. BOX 16446 ARLINGTON, VA 22215 INTERNATIONAL APPLICATION NO.

PCT/IL04/00726

I.A. FILING DATE PRIORITY DATE

08/04/2004 08/04/2003

CONFIRMATION NO. 3491 371 ACCEPTANCE LETTER



Date Mailed: 04/08/2009

NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

04/17/2007

DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS

04/17/2007

DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

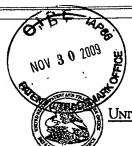
- · Indication of Small Entity Status
- Copy of the International Application filed on 01/30/2006
- Copy of the International Search Report filed on 01/30/2006
- Preliminary Amendments filed on 01/30/2006
- Information Disclosure Statements filed on 01/30/2006
- Oath or Declaration filed on 04/17/2007
- Request for Immediate Examination filed on 01/30/2006
- U.S. Basic National Fees filed on 01/30/2006
- Priority Documents filed on 01/30/2006
- Power of Attorney filed on 04/17/2007
- Specification filed on 04/17/2007
- Claims filed on 04/17/2007
- Abstracts filed on 04/17/2007
- Drawings filed on 04/17/2007

page 1 of 2

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

PAULETTE R KIDWELL

Telephone: (703) 308-9140 EXT 216



JNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Potent and Trademark Office Address COMMISSIONER FOR PATENTS RO. Box 1430 AVELEDIA 22313-1450

APPLICATION NUMBER

FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

10/566,264

Aharon J. Agranat

31316

CONFIRMATION NO. 3491
WITHDRAWAL NOTICE

67801 MARTIN D. MOYNIHAN d/b/a PRTSI, INC. P.O. BOX 16446 ARLINGTON, VA 22215

Date Mailed: 04/08/2009

Letter Regarding a New Notice and/or the Status of the Application

If a new notice or Filing Receipt is enclosed, applicant may disregard the previous notice mailed on 03/12/2007. The time period for reply runs from the mail date of the new notice. Within the time period for reply, applicant is required to file a reply in compliance with the requirements set forth in the new notice to avoid abandonment of the application.

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at http://www.uspto.gov/ebc.

If the reply is not filed electronically via EFS-Web, the reply must be accompanied by a copy of the new notice.

If the Office previously granted a petition to withdraw the holding of abandonment or a petition to revive under 37 CFR 1.137, the status of the application has been returned to pending status.

/mordonez/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



ASSIGNMENT

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the undersigned:

Aharon J. AGRANAT 29 HaArazim Street 90805 Mevasseret Zion Israel Clovis, Eduardo, Mazzotti DE OLIVEIRA 29 Bar Nisan Street 84633 Beer Sheva Israel

hereby sell(s), assign(s) and transfer(s) to:

Yissum Research Development Company of the Hebrew University of Jerusalem Hi Tech Park, The Edmond J. Safra Campus
The Hebrew University of Jerusalem, Givat Ram
91390 Jerusalem
Israel

(hereinafter called the "Assignee"), its successors, assigns, nominees or other legal representatives, the undersigned's entire rights, title and interest in and to the invention titled:

STABLE DIELECTRIC GRATINGS IMPLEMENTED BY COMPOSITION STRIATIONS IN PARAELECTRIC CRYSTALS

described and claimed in the following Patent Application:

Entry into National Phase in the USA of PCT Patent Application No. PCT/IL2004/000726, international filing date 4 August 2004, and identified as Attorney Docket No. 31316

and in and to said Patent Application, and all original and reissued Patents granted therefore, and all divisions and continuations thereof, any corresponding PCT Patent Application and the National Phases thereof, including the right to apply and obtain Patents in all other countries, the priority rights under International Conventions, and the Letters Patent which may be granted thereon:

covenant that the undersigned have (has) the full right to convey the entire interest therein assigned;

authorize(s) and request(s) the Registrar of Patents, and any Official of any country whose duty it is to issue Patents on applications as aforesaid, to issue the said Letters Patent to the said Assignee;

and agree(s) to sign all lawful papers, make all rightful oaths, do all lawful acts requisite for such Patent Applications, and do everything possible to aid said Assignee to apply for, obtain and enforce Patent protection for said invention.

Aharon J. AGRANAT

- 1

Clovis, Eduardo, Mazzotti DE OLIVEIRA

rate:

Date: 15/02/0